



LISTED COMPANIES ASSOCIATION

AGENDA

19 OCTOBER 2017

1. Is there general agreement with the objectives of the Review, including in particular, the focus on smaller cap companies and simplification?
 2. Should there be differentiated standards for “standard” versus “premium” listed companies (or any other adopted segregation of the market)?
 3. Should foreign listings (including Depository Receipts) and dual listings be promoted by NZX?
 4. Is a “principles based” approach to the Listing Rules more effective than specific requirements and metrics?
 5. Which existing Listing Rules are not operating effectively?
 6. Is it appropriate to have overlapping reviews of listed companies’ documents required by NZX and FMA?
 7. Do the requirements for director rotation and director independence require change (and if so, to what)?
 8. Should auditor rotation requirements be in Listing Rules or in the Governance Code?
 9. What improvements can be made to the continuous disclosure regime, including consideration of the Listing Rules and current guidance?
 10. What are the appropriate thresholds for major transactions and issues (without shareholder approval) of new securities.
 11. Can major transactions be better aligned with Companies Act requirements?
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